

THE TOP 100 INDEPENDENT ADVISORS IN AMERICA

| Rank | Name | City | AUM (in millions) | Years in Practice | Business Focus (and Specialties) |
|------|---|-----------------|----------------------|-------------------------|--|
| 52. | Richard Spector Retirement Planning Services (Broker-Dealer Financial Services) | Milwaukee, WI | \$300 | 15 | Income planning, investment planning, tax planning, education planning. |
| 53. | Richard Street Consolidated Planning Corporation (Raymond James Financial Services) | Atlanta, GA | \$293 | 43 | Financial planning for retirees, doctors, lawyers, dentists and educators; intergenerational planning. |
| 54. | Thomas Havel Health Financial and Insurance Services (Raymond James Financial Services) | Portland, CT | \$292 | 19 | Pre-retirees and retirees, inflation hedging. |
| 55. | John Moore Raymond James Financial Services | Albuquerque, NM | \$281 | 11 | Financial planning. |
| 56. | Robert Bortner XPL Financial Group (LPL Financial) | Rockville, MD | \$250 | 46 | Financial planning. |
| 57. | Edward Sella Raymond James Financial Services | Rockville, MD | \$270 | 28 | Financial planning. |
| 58. | Yvonne Sanford Sanford Financial Services (Raymond James Financial Services) | Portage, MI | \$270 | 26 | Multi-specialty firm for educators, small-business owners and employees of major corporations with a local presence. |
| 59. | Sharon Stephens Stephens Wealth Management (Raymond James Financial Services) | Flinn, MI | \$262 | 34 | Fee-based consulting, including asset mgmt., estate planning, retirement planning, tax planning, philanthropy and insurance solutions for HNWI clients, professionals and business owners. |
| 60. | Robert Valente TAV Financial Services (Strome Financial Corporation) | Beachwood, OH | \$260 | 37 | Customized wealth management, including asset management, financial and estate planning. |
| 61. | Robert Warnoff Warnoff Financial Planning (SR Financial Services) | Lakewood, MO | \$255 | 19 | Financial planning, tax planning and charitable planning. |
| 62. | Carl N. Lars Raymond James Financial Services | Austin, TX | \$250 | 11 | Investment management, risk management and estate planning. |
| 63. | Roger Green Green Financial Resources (McGraw-Hill Financial Securities) | Duluth, GA | \$250 | 21 | Financial planning, advisory and wealth management. |
| 64. | Jerith McGee McGee Financial Strategies (Raymond James Financial Services) | Portland, OR | \$247 | 34 | Wealth management, financial planning, retirement planning, estate and trust planning for the well-educated, professional client. |
| 65. | Kate Hansen Woodbury Financial Services | Anchorage, AK | \$245 | 20 | Strong acumen for building businesses and creating wealth for clients in all walks of life. |
| 66. | Benjamin Mykle Marks Group Wealth Management (LPL Financial) | Hockley, VA | \$235 | 27 | Provide HNWI clients with personalized service and investment decisions on a local level. |
| 67. | Kathleen Mulrow Carler Financial Management (Raymond James Financial Services) | Dallas, TX | \$231 | 29 | Financial planning. |
| 68. | Quincy Jones Hennes-Pelly Financial Advisors (Raymond James Financial Services) | Clarksdale, MS | \$230 | 34 | Comprehensive financial planning in rural America. |
| 69. | Carol Rogers Rogers & Company Wealth Management (LPL Financial) | Saint Louis, MO | \$230 | 33 | Certified Private Wealth Manager providing comprehensive planning and wealth management solutions to HNWI families and individuals. |
| 70. | Wayne Schuchter First Allied Securities | Saint Cloud, MN | \$227 | 12 | Comprehensive wealth management services with a focus on cash flow and risk management. |
| 71. | Daniel Gavin Gavin & Associates (Raymond James Financial Services) | M'Donald, MI | \$225 | 37 | Financial planning, investment management for mid/upper level management. |
| 72. | Michael McNamara McNamara Financial Services (Commonwealth) | Morshfield, MA | \$225 | 29 | Retail financial consulting and wealth management practice. |
| 73. | David Workman J.F. Financial | Logansport, IN | \$223 | 22 | Managing retirement plans. |
| 74. | John Dendis Jack Cardis & Associates (Next Financial Group) | Metairie, LA | \$215 | 29 | N/A |
| 75. | Patricia Weidell America's Retirement Planners (Associac Securities) | Reno, NV | \$210 | 36 | Providing financial advice and individualized solutions with the goal of enabling our clients to attain their lifetime goals and aspirations. |
| 76. | Daniel Boyne Center for Financial Planning (Raymond James Financial Services) | Southfield, MI | \$210 | 27 | Wealth management for HNWI individuals. |